

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
10277.14

In Patent Application of **K. Gregg Williams**

Serial No.
10/752,911

Filing Date
January 7, 2004

Examiner
Unassigned

Group Art Unit
Unassigned

Title: **ULTRAVIOLET INDICATING CLOSURE FOR CONTAINERS**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

APR 06 2004

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ULTRAVIOLET INDICATING CLOSURE FOR CONTAINERS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 500843 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited April 1, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michael F. Krieger

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Dated: April 1, 2004

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PATENT APPLICATION
Docket No: 10277.14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	K. Gregg Williams)
)
Serial No.:	10/752,911)
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Filed:	January 7, 2004)
)
For:	ULTRAVIOLET INDICATING CLOSURE)
	FOR CONTAINERS)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Mail Stop: DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be “prior art” within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

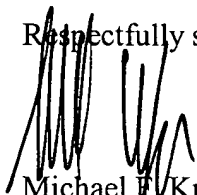
the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Pursuant to 37 C.F.R. § 1.491(b), since this application was filed after June 30, 2003, copies of all U.S. patents and U.S. patent application publications listed on the Form PTO-1449 are not being submitted.

Please credit any over payment or charge any additional fees to Deposit Account No. 500843 of the undersigned.

DATED this 1 day of April 2004.

Respectfully submitted,

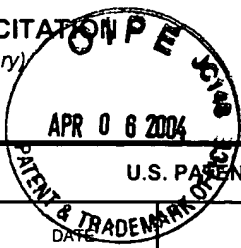


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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)



ATTY DOCKET NO. 10277.14		SERIAL NO. 10/752,911	
K. Gregg Williams			
FILING January 7, 2004		GROUP Unassigned	

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	2001/0032655	10/25/01	Gindi	132	293	07/14/99
	2	2002/0117633	08/29/02	Questel et al.	250	474.1	02/27/01
	3	2003/0008409	01/09/03	Spearman et al.	436	169	07/03/02
	4	3,787,687	01/22/74	Trumble	250	83	02/23/72
	5	3,903,423	09/02/75	Zweig	250	474	05/09/74
	6	4,919,983	04/24/90	Fremin	428	35.7	04/04/88
	7	5,110,881	05/05/92	McBain et al.	525	455	01/10/91
	8	6,060,321	05/09/00	Hovorka	436	57	07/06/98
	9	6,132,681	10/17/00	Faran et al.	422	58	06/03/98
	10	6,405,867	06/18/02	Moore	206	459.1	02/04/99

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		None						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			None

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.